



# List of Deficiencies

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In the event that any **deficiencies** are found, the owner(s)/organization or with those that are Certified, Registered, Accredited and/or Members of CTHA will have a certain amount of time to correct the problem. There are two types of deficiencies: **Class A** and **Class B**. **Class A** deficiencies can result in immediate loss of certification/registration/accreditation and/or membership, if deemed necessary per director. However, most Class A deficiencies will have **(3) days** to correct the problem. **Class B** deficiencies receive **(30) days** to correct the problem. Any deficiency that has not been corrected in the designated period of time will lose CTHA certification/registration/Accreditation and/or membership. A list of deficiencies will be provided with approval of certification/registration/accreditation and/or membership. Any deficiency found, will be documented on the permanent record at the CTHA.

<b>CLASS “A” DEFICIENCY</b>	<b>CLASS “B” DEFICIENCIES</b>
<ul style="list-style-type: none"> <li>• Any false statements made on application for initial and/or renewal certification/registration/accreditation and/or membership.</li> <li>• Not providing a safe environment for therapy horse and your clientele.</li> <li>• Not filling out and filing an incident report to the CTHA when an adverse incident occurs.</li> <li>• Not documenting therapy hours in the therapy horse file. A horse professional that has been approved by the CTHA should sign off this document.</li> <li>• Not keeping up on therapy horse’s health needs, such as hoof care, regular worming and vaccinations. These needs and updates (health record) should be kept in therapy horse’s file.</li> <li>• No liability insurance.</li> <li>• Confidentiality is breached for your clients/patients.</li> <li>• Abusing and/or using an injured(must have stipulation Documentation and working in that confines of that stipulation according to Veterinarian Care ONLY)horse/animal during, before or after an AAI.</li> <li>• Any personnel not cooperating with the CTHA during an on-site inspection/investigation.</li> </ul>	<ul style="list-style-type: none"> <li>• Therapy work is not documented for clients/patients and this documentation is not kept in a locked secure location for a period of 3 years and appropriately destroyed.</li> <li>• Therapy sessions not being performed and/or supervised by licensed/certified staff. The above-mentioned staff should sign off progress notes on clients/patients.</li> <li>• Using a horse professional that has not been approved by the CTHA.</li> <li>• Using a mental/medical/physical health professional that has not submitted certificates/licensed/degrees for filing to CTHA (Clinician must be Certified and/Licensed in the State they are Practicing AAI)</li> <li>• Not documenting on Horse or Animal w/form provided (Horse Documentation Form/Sheet)or supplying the information that the provided form asks for and documented by appropriate staff x1 weekly on therapy horse/animal regardless of active or inactive status.</li> <li>• Incomplete documentation on health record, therapy hours and progress notes.</li> <li>• A lapse in liability insurance.</li> <li>• Not posting patient’s rights in an easy to view area for staff and clientele.</li> </ul>